

(Please read the instructions before filling up the form)

My details (Please provide the following details in full)

Folio Number

Name

1. I Wish to Update/Change my bank details

Old Bank details

Bank name

Core bank account number

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Other

Bank Address

City Pin code

IFSC Code (11 digit) MICR Code (9 digit)

New Bank details

Bank name

Core bank account number

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Other

Bank Address

City Pin code

IFSC Code (11 digit) MICR Code (9 digit)

Documents to be submitted by Investor

Existing bank details (Any one of the following)

- ☐ A cancelled original cheque leaf/Self attested copy of cancelled cheque*
- ☐ Photocopy of bank passbook or bank account statement (Having entries not older than 3 months)
- ☐ Letter from the bank

New bank details (Any one of the following)

- ☐ A cancelled original cheque leaf/Self attested copy of cancelled cheque*
- ☐ Photocopy of bank passbook or bank account statement (Having entries not older than 3 months)
- ☐ Letter from the bank

*Account number and name of the first unit holder should be printed on the face of the cheque

Old Bank Declaration - (Incase old bank proof is not available)

- ☐ I now wish to update my bank account details as mentioned in the aforesaid folio due to - Bank Account closed / Bank Account Number erroneously mentioned / Not mentioned.
- ☐ In this regard, I would like to state that I have closed my bank account / inadvertently erroneously mentioned the bank account details, as mentioned herein above and presently registered in your records /mentioned in the application form, and confirm that I am not holding any documents pertaining to the said bank account.

2. I Wish to Update / Change my Contact details

Email ID (in capital)

Mobile

Telephone no. (Office)

Telephone no. (Resi) STD Code

Fax no. (Resi) STD Code

Mobile No. / Email ID* provided pertains to (Please tick(✓)) * if above any option is not ticked (✓) or selected then (Self) option is considered as a default.

- ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS

3. I Wish to Update / Change my mode of holding (All Joint Holders should sign as per existing unit holding, even in case of "Any one or Survivor")

New Mode of Holding (please✓) ☐ Anyone or Survivor ☐ Joint Holding

4. I Wish to cancel my Systematic Transactions (SIP/ SWP/ STP)

Source Scheme / Plan / Option (Please mention Folio no, Scheme, Plan / Option clearly)

SIP/STP/SWP Out Scheme	<input type="text"/>	Transaction Type (please ✓)	<input type="checkbox"/> SIP	<input type="checkbox"/> STP	<input type="checkbox"/> SWP
Frequency	<input type="text"/>	Amount	<input type="text"/>		
SIP Date	<input type="text"/>	STP Date	<input type="text"/>	SWP Date	<input type="text"/>
SIP Bank Name <input type="text"/>					
SIP Bank Acc No. <input type="text"/>					
STP in Scheme (in case of STP) <input type="text"/>					

5. I Wish to cancel IDCW Transfer Plan Source Scheme / Plan / Option (From where IDCW is transferred)

Scheme Name	<input type="text"/>
Income Distribution cum Capital Withdrawal (IDCW) Sub Option	<input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment (default)

6. I Wish to change IDCW Option (All Joint Holders should sign, even in case of "Any one or Survivor")

Scheme Name	<input type="text"/>	<input type="checkbox"/> Payout To Reinvest	<input type="checkbox"/> Reinvest To Payout
Scheme Name	<input type="text"/>	<input type="checkbox"/> Payout To Reinvest	<input type="checkbox"/> Reinvest To Payout
Scheme Name	<input type="text"/>	<input type="checkbox"/> Payout To Reinvest	<input type="checkbox"/> Reinvest To Payout

7. Consolidation of folios

Target Folio	<input type="text"/>
Source Folios	<input type="text"/>
1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>
4	<input type="text"/>
5	<input type="text"/>
6	<input type="text"/>

Note: The consolidation of folios will be effected only if the following information is identical in all folios
• Name of Unit Holder(s) • Order of Unit Holders • Mode of Holding • Tax Status • Bank details • Nominee details

8. Revalidation of IDCW/ redemption cheque

I/We are in receipt of Redemption/ IDCW warrant as below

Cheque No.	<input type="text"/>	Cheque Date	<input type="text"/>	Cheque Amount	<input type="text"/>
I/ We are herewith enclosing the warrant as I/ We could not deposit the same due to the below reason:					
<input type="checkbox"/> Bank account number incorrectly mentioned	<input type="checkbox"/> Bank account mentioned was inactive/ closed				
<input type="checkbox"/> Warrant validity period has expired	<input type="checkbox"/> Other <input type="text"/>				
<input type="checkbox"/> I request to reissue the said warrant after necessary revalidation without change in bank Mandate.					
<input type="checkbox"/> I request you to update the above new bank details and make payment in new bank through NEFT/RTGS.					
(**NOTE: Above Section (2) COB should be mandatorily filled to facilitate NEFT/RTGS)					

9. POA (Power of Attorney) registration details

Name of the POA holder	<input type="text"/>
PAN of the POA holder	<input type="text"/>
<input type="checkbox"/> Attached KYC Copy (Mandatory) <input type="checkbox"/> Notarized copy of POA	

10. Updation of PAN & KYC

PAN of Sole/ First Applicant/ Guardian	<input type="text"/>	<input type="checkbox"/> KYC Copy	<input type="checkbox"/> Self-attested copy of PAN
PAN of Second Applicant	<input type="text"/>	<input type="checkbox"/> KYC Copy	<input type="checkbox"/> Self-attested copy of PAN
PAN of Third Applicant	<input type="text"/>	<input type="checkbox"/> KYC Copy	<input type="checkbox"/> Self-attested copy of PAN

11. DECLARATION & SIGNATURES (To be signed as per the Existing Mode of Holding)

Having read and understood the content of the Non- Financial Transactional Form of Abakkus Mutual Fund. I/we have read and understood the instructions and I/we hereby apply for the necessary changes.

Investor Details	1st holder	2nd Holder	3rd Holder
Name			
Signature			

Date

D	D	M	M	Y	Y	Y	Y
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Place

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Folio number

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Date

D	D	M	M	Y	Y	Y	Y
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Received from Mr./Ms./Mrs.

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☐ SIP ☐ STP ☐ SWP ☐ DTP ☐ Updation of PAN & KYC

Stamp & Signature

- | | | | |
|---|--|--|---|
| <input type="checkbox"/> Change in bank details | <input type="checkbox"/> Change in contact details | <input type="checkbox"/> Change in Mode of holding | <input type="checkbox"/> Systematic Transactions cancellation |
| <input type="checkbox"/> Cancellation of IDCW option | <input type="checkbox"/> Change in IDCW option | <input type="checkbox"/> Consolidation of folios | <input type="checkbox"/> Revalidation of IDCW/ redemption |
| <input type="checkbox"/> Registration of POA
(Power of Attorney) | <input type="checkbox"/> Updation of PAN & KYC | | |

INSTRUCTIONS

* Turn around time(TAT) will be different for each non-commercial request

1. **Change in bank details:** Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification of the account details and register them accurately.
 - A cancelled original cheque leaf/Self attested copy of cancelled cheque.
 - Bank statement or Latest Passbook (Having entries not more than 3 months old).
 - A letter from the bank on its letter head certifying that the Unitholder maintains/maintained an account with the bank. (The bank account information like bank account number, bank branch, account type, the MICR code of the branch and IFSC Code (where available). The letter should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number).
 - Along with above documents, proof of old bank account to be provided.
 - a. In case the bank account is already closed, a duly signed and stamped original letter from the concerned bank on the official letter head, confirming the closure of the said account may be submitted in lieu of the cancelled cheque.
 - b. Account number and name of the first unit holder should be printed on the face of the cheque.
 - c. The bank account will be registered will act as the default account.
 - d. All documents submitted should clearly evidence the bank name, account number and name of all account holders.
 - e. In the event of a request for change in bank account mandate being invalid / incomplete / not satisfaction in any respect /or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / IDCW payments, if any, will be processed and paid to the last registered bank account information.
 - f. AMC/Mutual Fund will not be liable in case the redemption / IDCW proceeds are credited to existing bank mandate account upon rejection of change of bank mandate request.
2. **Contact details:** Applicants should provide contact information such as email address, mobile number and other telephone numbers. The fund sends transaction information and alerts via email and SMS, which will assist them keep track of the activities in the folio.
The investors should provide primary account holder's own email ID and mobile number while providing the contact details.
3. **Mode of holding:** Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honored by the fund.
4. **Systematic Transactions cancellation (SIP/ SWP/ STP)**
 - a. Discontinuation Policy: Investors can discontinue their systematic transactions by providing sufficient notice as follows: SIP/ STP/ SWP - 5 business days.
 - b. Please use separate cancellations forms for different schemes in the same folio or different folios.
 - c. Please use separate cancellations forms Debit Mandate / Standing Instruction.
 - d. For multiple SIP's fill multiple form, please ensure that all the said parameters are mention. Else the form may be liable for rejection.
 - e. In the absence of sufficient no. of days as mentioned above the cancellations would be effected from the next eligible cycle date.
 - f. In case of joint holders in the folio, the form needs to be signed by either one of the holders or all the holders depending upon the mode of holding.
 - g. Investor needs to ensure that the details mentioned in the SIP/ STP/ SWP cancellation form are correctly filled in.
 - h. In case of any ambiguity the SIP/ STP/ SWP cancellation is liable for rejection either at the collection point itself or subsequently after detailed scrutiny/verification at the back office of the Registrar.
 - i. SIP/ STP/ SWP cancellation will not be available for Exchange registered SIP or SIP registered through Channel Partner
5. IDCW Transfer Plan Form should be submitted atleast 7 days before the record date of any forthcoming proposed IDCW. At the time of discontinuation of this facility, the Unit holders should indicate their choice of option i.e. IDCW reinvestment or IDCW payout. In the event the Unitholder does not indicate his choice of IDCW option, the IDCW, if any, will be reinvested (compulsory payout if IDCW reinvestment option is not available) in the Source Scheme. Once the request for this plan is registered, then it shall remain in force unless it is terminated as aforesaid
6. **Consolidation of folios**
 - a. If target folio is not specified, latest folio will be considered as Target Folio
 - b. Consolidation of Folios will be effected only if the following information is identical in all Folio
 - Names of All unit holders
 - Order of the holdings
 - Mode of Holding
 - Tax Status
 - Bank details
 - Nominee details
 - c. Folios under Lien / Pledge shall be ignored in Consolidation Request, unless the same has been submitted along-with the Financiers Consent
 - d. Source folio where SIP / STP through physical/ channel/ exchange is/ are active cannot be moved to target folio.
 - e. Partial consolidation of scheme, plan is not allowed it will be done for folio level.
 - f. Please ensure source folio has no active SIP
7. **Revalidation of IDCW/ redemption cheque - Mandatory Enclosure/s - Please submit any one of the following Document/s in case of change in bank details**
 - A cancelled original cheque leaf/Self attested copy of cancelled cheque
 - Bank statement or Latest Passbook (Having entries not more than 3 months old)
 - Bank Letter
 - a. Account number and name of the first unit holder should be printed on the face of the cheque
 - b. All documents submitted should clearly evidence the bank name, account number and name of all account holders.
 - c. In the event of a request for change in bank account mandate being invalid / incomplete / not satisfaction in any respect /or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / IDCW payments, if any, will be processed and paid to the last registered bank account information.
 - d. AMC/Mutual Fund will not be liable in case the redemption / IDCW proceeds are credited to existing bank mandate account upon rejection of change of bank mandate request.
8. **POA (Power of Attorney)** Only a general Power of Attorney agreement without any restrictions and constant validity is accepted.
 - a. Only a general Power of Attorney agreement without any restrictions and constant validity is accepted.
 - b. The POA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed.
 - c. The POA must have signatures of the investor as well the POA holder.
 - d. If the signature of POA holder is not available, the fund may call for additional documents or declarations on a case to case basis.
 - e. POA will be registered within 30 working days of receipt of all valid documents.
9. **Updation of PAN & KYC**
 - a. Self-attested copy of your PAN Card is mandatory
 - b. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary
 - c. If there is change in PAN the, old PAN cancellation letter copy from Income Tax is mandatory.
10. **KYC compliance**
 - a. Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please log on to www.abakksmf.com / www.cvlindia.com / www.amfi-india.com before investing.
 - b. Applications are liable to be rejected without any intimation to the to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
11. **Change of broker code**
 - a. The change of broker code will be applicable on prospective basis.
 - b. Change of broker code is not applicable in Direct option of any schemes
 - c. In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC), the AMC reserves the right to reject the request, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.
 - d. Where no scheme is specified the broker code change will be processed for all schemes in the given folio.
12. **General Instructions**
 1. Alterations in the form, if, any, should be countersigned.
 2. AMC / Mutual Fund reserves the right to carry out additional/alternate validations to ascertain authenticity of any above request.