Abakkus Mutual Fund
Believe in the Basics

☐ Fresh Nomination / ☐ Change of Existing Nomination Form| ☐ Opting-out of Nomination

Toll Free Number : 18002671849 | Email : mf.investor.support@abakkusinvest.com | Website : www.abakkusmf.com

Folio No. ____________ Folio No. ____________ Folio No. ____________

First Holder ____________

Second Holder ____________

Third Holder ____________

PAN 1 ____________ 2 ____________ 3 ____________

I/We wish to make a nomination and do here by nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

NOMINATION DETAILS

Nominee 1

Name of the Nominee* ____________ Nomination(%) ____

Relationship with applicant* ____________ Mobile Number ____________

Email ID ____________ Residential Address ____________

____________ Pin ______

Proof of Identity ☐ PAN ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO Identification No ____________

Guardian Name (In Case Nominee is Minor) ____________ DOB is Mandatory in Case the Nominee is Minor D D M M Y Y Y Y

Nominee 2

Name of the Nominee* ____________ Nomination(%) ____

Relationship with applicant* ____________ Mobile Number ____________

Email ID* ____________ Residential Address ____________

____________ Pin ______

Proof of Identity ☐ PAN ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO Identification No ____________

Guardian Name (In Case Nominee is Minor) ____________ DOB is Mandatory in Case the Nominee is Minor D D M M Y Y Y Y

Nominee 3

Name of the Nominee* ____________ Nomination(%) ____

Relationship with applicant* ____________ Mobile Number ____________

Email ID* ____________ Residential Address ____________

____________ Pin ______

Proof of Identity ☐ PAN ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO Identification No ____________

Guardian Name (In Case Nominee is Minor) ____________ DOB is Mandatory in Case the Nominee is Minor D D M M Y Y Y Y

*All fields are mandatory.

I/We want the following details on nomination to be printed in the statement of account, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nominee Registration Status Yes / No

DECLARATION FOR OPTING-OUT OF NOMINATION

☐ I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/mutual fund folio at this point of time.

I understand that –

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

ACKNOWLEDGEMENT FOR ☐ FRESH NOMINATION / ☐ CHANGE OF EXISTING NOMINATION FORM / ☐ OPTING-OUT OF NOMINATION

Date D D M M Y Y Y Y

Received from

Folio Number ____________ Folio Number ____________

Folio Number ____________

AMC stamp and Signature

This nomination shall supersede any prior nomination made by me / us, if any. Signature of all holders are mandatory irrespective of mode of holdings

Name and Signature of Holder	Signature(s) of holder/ Thumb impression	Witness Name and Address**	Witness Signature**
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

** Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

TERMS & CONDITIONS

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. Nomination form must be signed by all the holder'(s) irrespective of mode of holding.
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favor of multiple nominees, subject to a maximum of three nominees. Where the investor has not specified the percentage allocation amongst the nominees, holdings will be apportioned equally. Any residual units arising from such allocation will be assigned to the first nominee named in the nomination form.
   Illustration allocation:
   - One Nominee: 100%
   - Two Nominees: 50%
   - Three Nominees: 34%, 33%, 33%
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio /account.
12. Nomination shall stand rescinded upon the transfer of units.
13. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/Mutual Fund / Trustees against the legal heir(s).
14. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer /transmit the units in favour of the Nominee.
15. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
16. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
17. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
18. Investor can authorize any one of the registered nominees (except a minor nominee), to act on behalf of him/her in case of incapacitation.
19. Transmission Aspects :
   - AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nomimee is not required to provide affidavits, indemnitites, undertakings, attestations or notarization.
   - In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), emai address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
   - Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC /DP.
   - In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	**% share**	**Nominee**	**% initial share**	**% of A's share to be apportioned**	**Total % share**
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**		**40%**	**60%**	**100%**